

Compliments and Complaints Handling Policy and Procedure

Adopted Nov 21 | Reviewed & Updated Nov 21

1.0 Our Aim

APK Architecture & Design is committed to providing a quality service and working in an open and accountable way that builds trust and respect. One of the ways in which we can continue to improve our service is by listening and responding to the views of our clients and stakeholders, and in particular by responding positively to complaints, and by putting mistakes right.

Therefore we aim to ensure that:

- making a compliment or complaint is as easy as possible
- we welcome compliments, feedback and suggestions
- we treat a complaint as a clear expression of dissatisfaction with our service which calls for an immediate response
- we deal with it promptly, politely and, when appropriate, confidentially
- we respond in the right way - for example, with an explanation, or an apology where we have got things wrong, or information on any action taken etc.
- we learn from complaints, use them to improve our service, and review annually our complaints policy and procedures

We recognise that many concerns will be raised informally, and dealt with quickly.

Our aims are to:

- resolve informal concerns quickly
- keep matters low-key
- enable mediation between the complainant and the individual to whom the complaint has been referred

This policy ensures that we welcome compliments and provide guidelines for dealing with complaints from members of the public about our services, facilities, staff and volunteers.

2.0 Definitions

A **compliment** is an expression of satisfaction about the standard of service we provide.

A **complaint** is defined as any expression of dissatisfaction, however, it is expressed. This would include complaints expressed face to face, via a phone call, in writing, via email or any other method. All staff should have sufficient knowledge to be able to identify an “expression of dissatisfaction” even when the word “complain” or “complaint” is not used.

3.0 Purpose

We are always glad to hear from people who are satisfied with the services we offer.

All **compliments** are recorded, acknowledged, and a copy is sent to the relevant service manager to provide feedback to the member of staff or service.

The formal **complaints** procedure is intended to ensure that all complaints are handled fairly, consistently and wherever possible resolved to the complainant's satisfaction.

4.0 Confidentiality

Except in exceptional circumstances, every attempt will be made to ensure that both the **complainant** and **APK Architecture & Design** maintain confidentiality.

The circumstances giving rise to the complaint may be such that it may not be possible to maintain confidentiality (with each complaint judged on its own facts). Should this be the case, the situation will be explained to the complainant.

5.0 Responsibilities **APK Architecture & Design's** responsibility will be to:

- acknowledge the formal complaint in writing;
- respond within a stated period of time;
- deal reasonably and sensitively with the complaint; and
- take action where appropriate.

A **complainant's** responsibility is to:

- bring their complaint, in writing, to a Director of the company's attention normally within 8 weeks of the issue arising;
- raise concerns promptly and directly with APK Architecture & Design;
- explain the problem as clearly and as fully as possible, including any action taken to date;
- allow the Director a reasonable time to deal with the matter, and
- recognise that some circumstances may be beyond APK Architecture & Design's control.

6.0 Complaints Procedure

Written records must be made by **APK Architecture & Design** at each stage of the procedure.

Stage 1

In the first instance, a Director of **APK Architecture & Design** shall establish the seriousness of any complaint raised and attempt to resolve the issue informally. If a complaint cannot be satisfactorily resolved informally, then the formal complaints procedure should be followed.

We will consider your complaint as quickly as possible, and will acknowledge receipt of your complaint within 7 days. If we are not able to give you a full response, we will update you within 28 days with an expected timescale and the reasons why.

Stage 2

If the complaint cannot be resolved informally, the **complainant** should be advised that a formal complaint may be made and the following procedure should be explained to them. It may sometimes be appropriate for a different member of staff, preferably a Director, to provide this explanation.

- a) A formal complaint can be made either verbally or in writing. If in writing the attached form should be used. If verbally, a statement should be taken by a Director.
- b) In the event of a complaint about a Director, the complaint should be passed to a different Director.
- c) The Director must acknowledge the complaint in writing within one week of receiving it.
- d) The Director will investigate the complaint. Any conclusions reached should be discussed with the staff member involved.
- e) The person making the complaint will receive a response based on the investigation within four weeks of the complaint being received. If this is not possible then a letter must be sent explaining why.

Stage 3

If the complainant is not satisfied with the above decision then the matter may be escalated to the RICS Digital Dispute Resolution Service for which a cost per-party is payable. **APK Architecture & Design** agree to meet their own party costs should the complainant decide that further mediation is necessary.

Further details can be found at:

<https://www.rics.org/uk/products/dispute-resolution-service/drs-services/online-mediation/>

**APK Architecture & Design Ltd.
COMPLAINTS FORM**

You may use this form to make a suggestion or to make a complaint about APK Architecture & Design Ltd.

Please return this form as soon as possible.

Your Name

Address

.....

Telephone

Date of incident

Approximate time of incident

Suggestion / Complaint

What action would you like to be taken?

What times are convenient for you to have an appointment to discuss this?